PATENT COOPERATION TREATY

| | From the INTERNATIONAL BUREAU |
|--|--|
| PCT | То: |
| NOTIFICATION OF ELECTION (PCT Rule 61.2) | Assistant Commissioner for Patents United States Patent and Trademark Office Box PCT Washington, D.C.20231 ÉTATS-UNIS D'AMÉRIQUE |
| Date of mailing (day/month/year) | 7 |
| 08 December 1999 (08.12.99) | in its capacity as elected Office |
| International application No. | Applicant's or agent's file reference |
| PCT/CA99/00314 | 76023-19 |
| International filing date (day/month/year) | Priority date (day/month/year) |
| 07 April 1999 (07.04.99) | 07 April 1998 (07.04.98) |
| Applicant | |
| HISCOTT, John et al | |
| 1. The designated Office is hereby notified of its election made. X in the demand filed with the International Preliminar 28 October 19 | y Examining Authority on: 99 (28.10.99) national Bureau on: |
| The International Bureau of WIPO | Authorized officer |
| 34, chemin des Colombettes 1211 Geneva 20, Switzerland | Marc Salzman |
| E-aciasia No. (41 22) 740 14 25 | Talashona Na. (41 22) 220 02 20 |





INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's | or agent's file reference | <u></u> | See Notification of Transmittal of International |
|-------------------------|--|--|--|
| 76023-19 |) | FOR FURTHER ACTION | Preliminary Examination Report (Form PCT/IPEA/416) |
| Internationa | l application No. | International filing date (day/month | //year) Priority date (day/month/year) |
| PCT/CA9 | 9/00314 | 07/04/1999 | 07/04/1998 |
| Internationa C12N15/ | al Patent Classification (IPC) or na 12 | ational classification and IPC | |
| , . | MORTIMER B. DAVIS-JE | WISH GENERAL et al. | |
| and is | transmitted to the applicant | according to Article 36. | by this International Preliminary Examining Authority |
| 2. This F | REPORT consists of a total of | f 5 sheets, including this cover s | heet. |
| b (s | een amended and are the ba | usis for this report and/or sheets of 607 of the Administrative Instructi | e description, claims and/or drawings which hav containing rectifications made before this Authority ons under the PCT). |
| 3. This r | eport contains indications rel | ating to the following items: | |
| l | ☐ Basis of the report | • | |
| II | ☐ Priority | • | |
| 111 | _ | | ventive step and industrial applicability |
| IV | ☐ Lack of unity of inventi | | |
| V | | under Article 35(2) with regard to ions suporting such statement | novelty, inventive step or industrial applicability; |
| VI | Certain documents cit | ted · | |
| VII | Certain defects in the | | |
| VIII | ☐ Certain observations of | on the international application | |
| | | · | |
| Date of sub | mission of the demand | Date of | completion of this report |
| 28/10/19 | 99 | 17 | 07. 00 |
| | mailing address of the internation examining authority: European Patent Office D-80298 Munich | Authoriz | red officer |
| اري | Tel. +49 89 2399 - 0 Tx: 52365 | | |

Telephone No. +49 89 2399 8416

Fax: +49 89 2399 - 4465

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA99/00314

| I. Bas | is o | f th | r | port |
|--------|------|------|---|------|
|--------|------|------|---|------|

1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in response to an invitation under Article 14 are referred to in this report as "originally filed" and are not annexed to the report since they do not contain amendments.):

| | the | report since they d | o not contain amendments.): | | | |
|----|------|----------------------|---|------------|-----------------------|-------------------------|
| | Des | cription, pages: | | | | |
| | 1-4, | 6-10,12-41 | as originally filed | | | |
| | 5,5 | a,11,11a | as received on | 05/06/2000 | with letter of | 01/06/2000 |
| | Cla | ims, No.: | | | | |
| | 1-34 | 1 | as received on | 05/06/2000 | with letter of | 01/06/2000 |
| | Dra | wings, sheets: | | | | |
| | 1/30 |)-30/30 | as originally filed | | | |
| 2. | The | amendments have | e resulted in the cancellation of: | | | |
| | | the description, | pages: | | | |
| | | the claims, | Nos.: | | | |
| | | the drawings, | sheets: | | | |
| 3. | ⊠ | | een established as if (some of) to beyond the disclosure as filed (F | | nts had not been made | e, since they have beer |
| | | see separate she | eet | | • | |
| 4. | Ado | litional observation | s, if necessary: | | | |
| | | see separate she | eet | | | |

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

International application No. PCT/CA99/00314

V. R asoned stat ment under Article 35(2) with r gard to novelty, inventive st p or industrial applicability; citations and explanations supporting such statement

1. Statement

Novelty (N)

Yes:

Claims 2, 4-31

No:

Claims 1, 3

Inventive step (IS)

Yes:

Claims 2, 6-31

No:

Claims 1, 3-5

Industrial applicability (IA)

Yes:

Claims 1-31

No: Claims

2. Citations and explanations

see separate sheet

1. Basis of the report

1.1. A basis for the amendments to claims 15(b), 27 as far as it relates to hepatitis infection, and claims 32 to 34 could not be found in the application documents as originally filed. Hence, these amendments are deemed to contravene Art. 34(2)(b) PCT. Consequently, this report does not contain a reasoned statement with regard to novelty, inventive step and industrial activity of claims 15 and 27 (both partially) and claims 32 to 34.

Also, a basis for the amendments on p. 5, lines 17 to 21, referring to commercial packages, and on p. 11, lines 19 to 21, referring to proteins of other species, is not apparent.

1.2. Replacement pages 2/13 to 4/13 of the sequence listing have been filed. The amendments concern the numbering of amino acid residues and do not affect the contents of the disclosure.

2. Reasoned statement

2.1. This report has been established under the assumption of valid priority rights. The application describes mutant IRF-3 and IRF-7 proteins yielding increased cytokine gene activation when compared to the activation obtained with native proteins.

2.2. Novelty (Art. 33(2) PCT)

Claims 1 and 3, as presently worded, are insufficiently delimited from the prior art. Claim 1 refers to an "interferon regulatory factor" with at least one modified serine or threonine phospho acceptor site in the "carboxy terminus domain". In view of Yoneyama et al., 1998 (cited in the ISR), IRF-3 phosphorylated on Ser 385 or Ser 386 was excluded from the scope of protection. However, Yoneyama et al. describe also replacement mutants where six of the seven Ser have been replaced by Ala (p. 1090, top left). These mutants are within the scope of claims 1 and 3.

The remaining claims cover subject matter which has not been disclosed in the cited prior art.

2.3. Inventive step (Art. 33(3))

Yoneyama et al. disclosed phosphorylation on two serine residues of IRF-3 as a way of activating interferon genes. They also speculated on a potential role in growth regulation. In view of this document, the present contribution can be identified as the provision of IRF analogues. Since these mutants display a surprisingly large stimulation (activity) and the role of additional Ser and Thr residues could not be derived from the cited prior art in an obvious way, inventive step can be acknowledged.

However, claims 4 and 5, as presently worded, are deemed to lack inventive step. These claims include wild-type IRF-7 in phosphorylated form because the term "modification" does not necessarily imply amino acid replacement. As phosphorylation of IRF-3 was known in the art (Yoneyama et al.) and as the IRFs are to a certain degree related, isolation of the corresponding phosphorylated forms of IRF-7 would not have required inventive skills.

E.K



PCT

INTERNATIONAL SEARCH REPORT

(PCT Article 18 and Rules 43 and 44)

| Applicant's or agent's file reference 76023-19 | FOR FURTHER see Notification of (Form PCT/ISA/2 | of Transmittal of International Search Report (20) as well as, where applicable, item 5 below. |
|---|--|---|
| International application No. | International filing date (day/month/year) | (Earliest) Priority Date (day/month/year) |
| PCT/CA 99/00314 | 07/04/1999 | 07/04/1998 |
| Applicant | | |
| THE SIR MORTIMER B. DAVIS | -JEWISH GENERAL HOSPITAL | |
| This International Search Report has been according to Article 18. A copy is being tra | n prepared by this International Searching Aut ansmitted to the International Bureau. | hority and is transmitted to the applicant |
| This International Search Report consists It is also accompanied by | of a total of sheets. a copy of each prior art document cited in this | s report. |
| Basis of the report a. With regard to the language, the language in which it was filed, units and the second sec | international search was carried out on the baless otherwise indicated under this item. | isis of the international application in the |
| the international search w Authority (Rule 23.1(b)). | vas carried out on the basis of a translation of | the international application furnished to this |
| was carried out on the basis of th | e sequence listing : | nternational application, the international search |
| | onal application in written form. Prnational application in computer readable for | m. |
| | this Authority in written form. | |
| | this Authority in computer readble form. | |
| the statement that the sul | bsequently furnished written sequence listing of the s | does not go beyond the disclosure in the |
| the statement that the info | ormation recorded in computer readable form | is identical to the written sequence listing has been |
| 2. X Certain claims were fou | nd unsearchable (See Box I). | |
| 3. Unity of invention is lac | king (see Box II). | |
| 4. With regard to the title , | | |
| X the text is approved as su | ubmitted by the applicant. | |
| the text has been establis | shed by this Authority to read as follows: | |
| · | | |
| · | | |
| 5. With regard to the abstract, | | |
| 1 | ubmitted by the applicant. | |
| the text has been establis within one month from the | shed, according to Rule 38.2(b), by this Authore date of mailing of this international search re | ity as it appears in Box III. The applicant may, port, submit comments to this Authority. |
| 6. The figure of the drawings to be pub | lished with the abstract is Figure No. | 14 |
| as suggested by the appl | icant. | None of the figures. |
| because the applicant fai | led to suggest a figure. | |
| because this figure better | r characterizes the invention. | |

INTERNATIONAL SEARCH REPORT

ernational application No.

PCT/CA 99/00314

| Box I | Observations where certain claims were found uns archable (Continuation of item 1 of first sheet) |
|-----------|---|
| This Inte | ernational Search Report has not been established in respect of certain claims under Article 17(2)(a) for the following reasons: |
| 1. X | Claims Nos.: because they relate to subject matter not required to be searched by this Authority, namely: Remark: Although claims 21-22 (as far as they concern an in vivo method) and claims 23-34 are directed to a method of treatment of the human/animal body (rule 39.1 (IV) PCT, the search been carried out and based on the alleged effects of the compound/composition. |
| 2. | Claims Nos.: because they relate to parts of the International Application that do not comply with the prescribed requirements to such an extent that no meaningful International Search can be carried out, specifically: |
| | |
| 3. | Claims Nos.: because they are dependent claims and are not drafted in accordance with the second and third sentences of Rule 6.4(a). |
| Box II | Observations where unity of invention is lacking (Continuation of item 2 of first sheet) |
| This Inte | ernational Searching Authority found multiple inventions in this international application, as follows: |
| | |
| | |
| | |
| 1. | As all required additional search fees were timely paid by the applicant, this International Search Report covers all searchable claims. |
| 2. | As all searchable claims could be searched without effort justifying an additional fee, this Authority did not invite payment of any additional fee. |
| 3. | As only some of the required additional search fees were timely paid by the applicant, this International Search Report covers only those claims for which fees were paid, specifically claims Nos.: |
| 4. | No required additional search fees were timely paid by the applicant. Consequently, this International Search Report is restricted to the invention first mentioned in the claims; it is covered by claims Nos.: |
| Remark | The additional search fees were accompanied by the applicant's protest. No protest accompanied the payment of additional search fees. |
| | · |





| A. CLASSII IPC 6 | FICATION OF SUBJECT MATTER C12N15/12 C07K14/47 A61K38/17 | 7 A61K48/00 C07K19/00 | | | | |
|---------------------|---|---|--|--|--|--|
| | C12N15/62 | | | | | |
| | o International Patent Classification (IPC) or to both national classificat | ion and IPC | | | | |
| | SEARCHED ocumentation searched (classification system followed by classification | n symbols) | | | | |
| IPC 6 | C12N C07K | | | | | |
| Documentat | tion searched other than minimum documentation to the extent that su | ch documents are included in the fields searched | | | | |
| Electronic d | ata base consulted during the international search (name of data base | e and, where practical, search terms used) | | | | |
| | | | | | | |
| C. DOCUMI | ENTS CONSIDERED TO BE RELEVANT | | | | | |
| Category ° | Citation of document, with indication, where appropriate, of the rele | vant passages Relevant to claim No. | | | | |
| X | MITSUTOSHI YONEYAMA ET AL: "Dire triggering of the type I interfer systemby virus infection: activat transcription factor complex cont IRF-3 and CBP/p300" EMBO JOURNAL., vol. 17, no. 4, 16 February 1998 (1998-02-16), pa 1087-1095, XP002110452 OXFORD UNIVERSITY PRESS, SURREY., ISSN: 0261-4189 page 1089, right-hand column, pa page 1089, left-hand column, par right-hand column, par figure 4A | on 16,21,22 ion of a aining ges GB ragraph 2 | | | | |
| X Furt | ther documents are listed in the continuation of box C. | Patent family members are listed in annex. | | | | |
| ° Special ca | ategories of cited documents : | T" later document published after the international filing date | | | | |
| consid | ent defining the general state of the art which is not dered to be of particular relevance | or priority date and not in conflict with the application but cited to understand the principle or theory underlying the invention "X" document of particular relevance; the claimed invention | | | | |
| filing of | date ent which may throw doubts on priority claim(s) or | cannot be considered novel or cannot be considered to involve an inventive step when the document is taken alone | | | | |
| citatio | which is cited to establish the publication date of another citation or other special reason (as specified) "O" document referring to an oral disclosure, use, exhibition or other means "Y" document of particular relevance; the claimed invention cannot be considered to involve an inventive step when the document is combined with one or more other such document, such combination being obvious to a person skilled | | | | | |
| "P" docum | means sent published prior to the international filing date but than the priority date claimed | in the art. "&" document member of the same patent family | | | | |
| Date of the | actual completion of the international search | Date of mailing of the international search report | | | | |
| 2 | 2 August 1999 | 17/08/1999 | | | | |
| Name and | mailing address of the ISA European Patent Office, P.B. 5818 Patentlaan 2 | Authorized officer | | | | |
| | NL - 2280 HV Rijswijk Tel. (+31-70) 340-2040, Tx. 31 651 epo nl, Fax: (+31-70) 340-3016 Le Cornec, N | | | | | |



| Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|---|--|
| Citation of document, with indication, where appropriate, of the relevant passages | |
| WEI-CHUN AU ET AL: "Identification of a member of the interferon regulatory factor family that binds to the interferon-stimulated response element and activates expression of interferon-induced genes" PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 92, December 1995 (1995-12), pages 11657-11661, XP000490487 NATIONAL ACADEMY OF SCIENCE. WASHINGTON., US ISSN: 0027-8424 | 15,16,18 |
| cited in the application | |
| the whore document | 21,22 |
| L. ZHANG ET AL: EMBL DATABASE ENTRY HSU53830, ACCESSION NUMBER U53830, 19 May 1997 (1997-05-19), XP002110966 cited in the application | 15,17,18 |
| -& L. ZHANG ET AL: "IRF-7, a new Interferon Regulatory Factor associated with Epstein -Barr virus latency" MOLECULAR AND CELLULAR BIOLOGY., vol. 17, no. 10, October 1997 (1997-10), pages 5748-5737, XP002110967 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306 | |
| A. GROSSMAN ET AL: "Characterization of IRF-7, a novel Interferon Regulatory Factor" EMBL DATABASE ENTRY HSU73036, ACCESSION NUMBER U73036, 21 October 1996 (1996-10-21), XP002110973 cited in the application abstract & UNPUBLISHED, | 15,17,18 |
| R. LIN ET AL: "Virus-dependent phosphorylation of the IRF-3 transcription factor regulates nuclear translocation, transactivation potential, and proteasome mediated degradation" MOLECULAR AND CELLULAR BIOLOGY., vol. 18, no. 5, May 1998 (1998-05), pages 2986-2996, XPO02110454 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306 the whole document | 1-9,15, 16,19, 21,22 |
| | member of the interferon regulatory factor family that binds to the interferon-stimulated response element and activates expression of interferon-induced genes " PROCEEDINGS OF THE NATIONAL ACADEMY OF SCIENCES OF USA., vol. 92, December 1995 (1995–12), pages 11657–11661, XP000490487 NATIONAL ACADEMY OF SCIENCE. WASHINGTON., US ISSN: 0027–8424 cited in the application the whole document |



| Category ° | Citation of document, with indication, where appropriate, of the relevant passages | Relevant to claim No. |
|------------|---|-----------------------|
| P , X | R. LIN ET AL: "Essential role of interferon regulatory factor 3 in direct activation of RANTES chemokine transcription" MOLECULAR AND CELLULAR BIOLOGY., vol. 19, no. 2, February 1999 (1999-02), pages 959-966, XP002110455 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306 the whole document | 1-9,15, 16,19-22 |
| T | R. LIN ET AL: "Structural and functional analysis of interferon regulatory factor-3: Localization of the Transactivation and autoinhibitory domains" MOLECULAR AND CELLULAR BIOLOGY., vol. 19, no. 4, April 1999 (1999-04), pages 2465-2474, XP002110456 AMERICAN SOCIETY FOR MICROBIOLOGY, WASHINGTON., US ISSN: 0270-7306 | |
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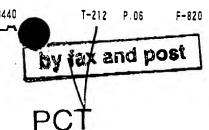
PATENI CUUPEKA IIUN IDI

From the INTERNATIONAL PRELIMINARY EXAMINING AUTHORITY

MORROW, Joy D. SMART & BIGGAR P.O. Box 2999, Station D 900-55 Metcalfe Street Ottawa, Ontario K1P 5Y6

CANADA

FAX NO: 613-232-8440



NOTIFICATION OF TRANSMITTAL OF THE INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Rule 71.1)

Date of mailing (day/month/year) 17. 07. 00

Applicant's or agent's file reference

76023-19

International filing date (day/month/year) 07/04/1999

Priority date (day/month/year) 07/04/1998

. 1.

IMPORTANT NOTIFICATION

International application No. PCT/CA99/00314

Applicant

THE SIR MORTIMER B. DAVIS-JEWISH GENERAL... et al.

- 1. The applicant is hereby notified that this International Preliminary Examining Authority transmits herewith the international preliminary examination report and its annexes, if any, established on the international application.
- 2. A copy of the report and its annexes, if any, is being transmitted to the International Bureau for communication to all the elected Offices.
- 3. Where required by any of the elected Offices, the International Bureau will prepare an English translation of the report (but not of any annexes) and will transmit such translation to those Offices.

4. REMINDER

The applicant must enter the national phase before each elected Office by performing certain acts (filing translations and paying national fees) within 30 months from the priority date (or later in some Offices) (Article 39(1)) (see also the reminder sent by the International Bureau with Form PCT/IB/301).

Where a translation of the international application must be furnished to an elected Office, that translation must contain a translation of any annexes to the international preliminary examination report. It is the applicant's responsibility to prepare and fumish such translation directly to each elected Office concerned.

For further details on the applicable time limits and requirements of the elected Offices, see Volume II of the PCT Applicant's Guide.

Name and mailing address of the IPEA/

European Patent Office D-30298 Munich

Tel. +49 89 2399 - 0 Tx: 523656 epmu d

Fax: +49 89 2399 - 4465

Authorized officer

Stefanie Büchler Faux. K

Tel.+49 S9 2399-8062



Form PCT/IPEA/416 (July 1992)

OCT 05 2000 18:40

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/CA99/00314

V. Reasoned statement under Article 35(2) with regard to novelty, inventive step or industrial applicability; citations and explanations supporting such statement

1. Statement

Claims 2, 4-31 Yes: Novelty (N)

Claims 1,3 No:

Claims 2, 6-31 Yes: Inventive step (IS)

Claims 1, 3-5 No:

Claims 1-31 Yes: Industrial applicability (IA)

Claims No:

2. Citations and explanations

see separate sheet

INTERNATIONAL PRELIMINARY **EXAMINATION REPORT**

International application No. PCT/CA99/00314

| 1 | Rasis | of | the | report |
|---|-------|----|-----|--------|

Oct-05-00 06:27pm

1. This report has been drawn on the basis of (substitute sheets which have been furnished to the receiving Office in

| r | his te espoi he re | nse to an invitation oort since they d | on under Article 14 are refe to not contain amendments | erred to in this repor :.): | t as "originally hil | | |
|----|--------------------------|---|--|--|------------------------|-----------------------------|----|
| I | Desci | iption, pages: | | | | | |
| | 1-4,6- | 10,12-41 | as originally filed | | | 01/06/2000 | |
| | 5.5a. | 11,11a | as received on | 05/06/2000 | with letter of | 01700/2000 | |
| | Clain 1-34 | ns, No.: | as received on | 05/06/2000 | with letter of | 01/06/2000 | |
| | | vings, sheets: | :-:ally filad | | | | |
| | 1/30 | -30/30 | as originally filed | | | | |
| 2. | The | amendments ha | ive resulted in the cancella | tion of: | | | |
| | | the description. | pages: | | | | |
| | | the claims. | Nos.: | | | | |
| | | the drawings, | sheets: | | | | |
| 3 | . 🛭 | This report has considered to g | been established as if (sor go beyond the disclosure as | me of) the amendm s filed (Rule 70.2(c) | ents had not bee): | en made, since they have be | er |
| | | see separate s | sheet | | | | |
| 4 | . Ad | ditional observat | ions, if necessary: | | | | |

see separate sheet

PATENT COOPERATION TREATY

PCT

INTERNATIONAL PRELIMINARY EXAMINATION REPORT

(PCT Article 36 and Rule 70)

| Applicant's or agent | s file reference | FOR FURTHER ACTION | Proliminary | ation of Transmittal of International Exemination Report (Form PCT/IPEA/416) |
|---|---|---|------------------------|--|
| nternational applic | | International filing date (day/mor | nth/year) | Priority date (day/month/year) 07/04/1998 |
| nternational Paten C12N15/12 | t Classification (IPC) or | national classification and IPC | | |
| Applicant THE SIR MOF | TIMER B. DAVIS-J | IEWISH GENERAL et al. | | |
| | ii aal aasliminani AY | amination report has been prepa nt according to Article 36. | red by this int | ternational Preliminary Examining Authority |
| a This DEDC | IRT consists of a tota | l of 5 sheets, including this cove | or sheet. | |
| 🛭 This re | port is also accompa | | of the descript | ion, claims and/or drawings which have rectifications made before this Authority the PCT). |
| | exes consist of a total | | | |
| | | | | |
| | | relating to the following items: | | |
| | Basis of the report | | | |
| n = | Priority | t of opinion with regard to novelt | v. inventive st | ep and industrial applicability |
| | | | | |
| V & | Lack of unity of inv Reasoned statements and explain | ent under Article 35(2) with regal anations suporting such stateme | rd to novelty, i nt | inventive step or industrial applicability; |
| <u>, ,, , , , , , , , , , , , , , , , , ,</u> | Certain documen | | | |
| ے `` ہ | Certain defects in | the international application | | |
| ì ''' - | Certain observation | ons on the international applicati | on | |
| | | | are of co-state | on of this report |
| Date of submis | sion of the demand | | | |
| 28/10/1999 | | | 1 7. 07. 0 | 10 |
| preliminary ex | iting address of the inter amining authority: | national A | uthorized office | er Signal Control Cont |
| | uropean Patent Office | | Stolz, B | \\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\ |
| <i>(Q)</i> 9 | 0-80293 Munich 1 _{81. +} 49 89 2399 - 0 Tx: | 523656 epmu d | | 49 29 2399 8416 |
| | ax: +49 89 2399 - 4465 | 1 - | russana Na d | 49 69 6333 00 10 |

1.1. A basis for the amendments to claims 15(b), 27 as far as it relates to hepatitis infection, and claims 32 to 34 could not be found in the application documents as originally filed. Hence, these amendments are deemed to contravene Art. 34(2)(b) PCT. Consequently, this report does not contain a reasoned statement with regard to novelty, inventive step and industrial activity of claims 15 and 27 (both

Also, a basis for the amendments on p. 5, lines 17 to 21, referring to commercial partially) and claims 32 to 34. packages, and on p. 11, lines 19 to 21, referring to proteins of other species, is

1.2. Replacement pages 2/13 to 4/13 of the sequence listing have been filed. The amendments concern the numbering of amino acid residues and do not affect the contents of the disclosure.

2.

- 2.1. This report has been established under the assumption of valid priority rights. The application describes mutant IRF-3 and IRF-7 proteins yielding increased cytokine gene activation when compared to the activation obtained with native proteins.
 - 2.2. Novelty (Art. 33(2) PCT)

Claims 1 and 3, as presently worded, are insufficiently delimited from the prior art. Claim 1 refers to an "interferon regulatory factor" with at least one modified serine or threonine phospho acceptor site in the "carboxy terminus domain". In view of Yoneyama et al., 1998 (cited in the ISR), IRF-3 phosphorylated on Ser 385 or Ser 386 was excluded from the scope of protection. However, Yoneyama et al. describe also replacement mutants where six of the seven Ser have been replaced by Ala (p. 1090, top left). These mutants are within the scope of claims 1 and 3.

PAGE.10

INTERNATIONAL PRELIMINARY

International application No. PCT/CA99/00314

EXAMINATION REPORT - SEPARATE SHEET

The remaining claims cover subject matter which has not been disclosed in the cited prior art.

2.3. Inventive step (Art. 33(3))

Yoneyama et al. disclosed phosphorylation on two serine residues of IRF-3 as a way of activating interferon genes. They also speculated on a potential role in growth regulation. In view of this document, the present contribution can be identified as the provision of IRF analogues. Since these mutants display a surprisingly large stimulation (activity) and the role of additional Ser and Thr residues could not be derived from the cited prior art in an obvious way, inventive step can be acknowledged.

However, claims 4 and 5, as presently worded, are deemed to lack inventive step. These claims include wild-type IRF-7 in phosphorylated form because the term "modification" does not necessarily imply amino acid replacement. As phosphorylation of IRF-3 was known in the art (Yoneyama et al.) and as the IRFs are to a certain degree related, isolation of the corresponding phosphorylated forms of IRF-7 would not have required inventive skills.

phosphoacceptor site in the carboxy-terminus domain, preferably wherein cytokine gene activation by the modified IRF is increased relative to cytokine gene activation by a corresponding wild type IRF protein.

The present invention also provides a pharmaceutical composition comprising an effective amount of the interferon regulatory factor (IRF) protein according to the invention, together with a pharmaceutically acceptable carrier, for the treatment of a viral infection, for example, an influenza 10 infection, a herpes infection or an HIV infection.

The present invention further provides use of the interferon regulatory factor (IRF) protein according to the invention to activate a cytokine gene, preferably wherein the cytokine gene is an interferon gene or a chemokine gene.

15 <u>DESCRIPTION OF THE FIGURES</u>

Figure 1. Sendai virus infection induces IRF-3 degradation.

IRF-3 expression plasmid CMVBL-IRF3 (lanes 1 and 2) or CMVBL vector alone (lanes 3 and 4), both at 5 μ g were transiently 20 transfected into 293 cells by the calcium phosphate method. At 24h post transfection, cells were infected with Sendai virus for 16h (lanes 2 and 4) or left uninfected (lanes 1 and 3). Whole cell extracts (20 μ g) were prepared and analyzed by immunoblotting with anti-IRF-3 antibody.

- 25 Figure 2. Sendai virus induced phosphorylation and degradation of IRF-3 protein.
 - A) rtTA-IRF-3 cells, selected as described in the Example, were induced to express IRF-3 by doxycycline treatment for 24h. At 24h after Dox addition, cells were infected with Sendai virus
- 30 for 4, 8, 12, 16, 20, or 24h (lanes 2-7) or were left uninfected (lane 1). IRF-3 protein was detected in whole cell extracts (10 μ g) by immunoblot. Two forms of IRF-3 were detected, designated as form I and form II.
- B) At 24h post Dox induction, rtTA-IRF-3 cells were infected 35 with Sendai virus for 16 hours (lanes 4-8) or were left uninfected (lanes 1-3). Whole cell extracts from untreated

having aspartic acid residues in at least one of postions 396, 398, 402, 404 and 405 of the sequence, more preferably in positions 396, 398, 402, 404 and 405 of the sequence (IRF-3(5D)) (Figure 10). The preferred mutant form of IRF-7 is that having aspartic acid residues in at least one of positions 477 and 479 of the sequence, more preferable in positions 477 and 479 of the sequence (IRF-7(2D)) (Figure 12).

Also within the scope of the invention are chimeric proteins comprising a carboxy-terminus domain of one modified 10 IRF protein, modified as discussed above, and an amino-terminal domain of another IRF protein. Preferably, the amino-terminus of IRF-7 is fused to the carboxy-terminus of modified IRF-3. It is more preferred that the carboxy-terminus of modified IRF-3 is that of IRF-3(5D). Even more preferred is a chimeric protein comprising residues 1 to 246 of IRF-7 and residues 132 to 427 of IRF-3(5D) (Figure 13).

Also within the scope of the invention are proteins which are substantially homologous to the above proteins and which retain the function of those proteins.

20 Nucleotide sequences within the scope of the invention are those which encode a protein of the invention. Preferably, the nucleotide sequence is a coding DNA sequence as defined in Figure 10 or a DNA sequence which is hybridizable under stringent conditions with the complement of the coding 25 DNA sequence of Figure 10, which DNA encodes IRF-3(5D). Also, preferably, the nucleotide sequence is a coding DNA sequence as defined in Figure 12 or a DNA sequence which is hybridizable under stringent conditions with the complement of the coding DNA sequence of Figure 12, which DNA encodes IRF-7(2D). Also 30 preferably, the nucleotide sequence is a coding DNA sequence as defined in Figure 13 or a DNA sequence which is hybridizable under stringent conditions with the complement of the coding DNA sequence of Figure 13, which DNA encodes IRF-7(1-246)/IRF-3(132-427) chimeric protein.

A combination of IRF-3 deletion and point mutations localized the inducible phosphorylation sites to the region -ISNSHPLSLTSDQ- between amino acids 395 and 407; point mutation



Claims:

1. A modified interferon regulatory factor (IRF) protein, the protein comprising at least one modified serine or threonine phosphoacceptor site in the carboxy-terminus domain.

- 5 2. The interferon regulatory factor (IRF) protein according to claim 1, wherein cytokine gene activation by the modified IRF is increased relative to cytokine gene activation by a corresponding wild type IRF protein.
- 3. The interferon regulatory factor (IRF) protein 10 according to claim 1 or 2, wherein the at least one modified phosphoacceptor site is modified by phosphorylation.
- 4. The interferon regulatory factor (IRF) protein according to claim 1 or 2, wherein the at least one modified phosphoacceptor site comprises an amino acid residue having an 15 acidic side chain.
 - 5. The interferon regulatory factor (IRF) protein according to claim 4, wherein the amino acid residue is aspartic acid.
- 6. The interferon regulatory factor (IRF) protein
 20 according to claim 3, 4 or 5, wherein the modified IRF is IRF-3
 modified at a site selected from at least one of Ser-396, Ser398, Ser-402, Thr-404 and Ser-405.
- The interferon regulatory factor (IRF) protein according to claim 6, wherein the modified IRF is IRF-3
 modified at Ser-396, Ser-398, Ser-402, Thr-404 and Ser-405 sites.
 - 8. The interferon regulatory factor (IRF) protein according to claim 7 having the sequence of ID No. 2 in the sequence listing (IRF-3(5D)).

- 9. The interferon regulatory factor (IRF) protein according to claim 7, wherein the modified IRF comprises a carboxy-terminus domain of IRF-3 modified at a site selected from at least one of Ser-396, Ser-398, Ser-402, Thr-404 and 5 Ser-405 and an amino-terminus domain from IRF-7.
 - 10. The interferon regulatory factor (IRF) protein according to claim 9, wherein the modified IRF has an amino-terminal domain comprising residues 1 to 246 of IRF-7 and a carboxy-terminal domain comprising residues 132 to 427 of IRF-3 modified by replacement of each of Ser-396, Ser-398, Ser-402, Thr-404 and Ser-405 by an aspartic acid residue.
 - 11. The interferon regulatory factor (IRF) protein according to claim 10 having the sequence of ID No. 11 in the sequence listing (IRF-7(1-246)/IRF-3(5D)(132-427)).
 - 15 12. The interferon regulatory factor (IRF) protein according to claim 3, 4 or 5, wherein the modified IRF is IRF-7 modified at a site selected from at least one of Ser-477 and Ser-479.
 - 13. The interferon regulatory factor (IRF) protein
 20 according to claim 12, wherein the modified IRF-7 is modified at Ser-477 and Ser-479 sites.
 - 14. The interferon regulatory factor (IRF) protein according to claim 13 having the sequence of ID No. 9 in the sequence listing (IRF-7(2D)).
 - 25 15. A nucleotide sequence which encodes the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14, or a nucleotide sequence that is hybridizable under stringent conditions with the complement of the nucleotide sequence which encodes the interferon regulatory factor (IRF) protein.



- 16. The nucleotide sequence according to claim 15, which is a DNA sequence of ID No. 1 in the sequence listing.
- 17. The nucleotide sequence according to claim 15, which is a DNA sequence of ID No. 8 in the sequence listing.
- 5 18. The nucleotide sequence according to claim 15, which is a DNA sequence of ID No. 10 in the sequence listing.
- 19. A pharmaceutical composition comprising an effective amount of the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14, together with a 10 pharmaceutically acceptable carrier, for the treatment of a viral infection.
 - 20. The pharmaceutical composition according to claim 19, wherein the viral infection is selected from an influenza infection, a herpes infection and an HIV infection.
- 15 21. Use of the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14 to activate a cytokine gene.
 - 22. The use according to claim 21, wherein the cytokine gene is an interferon gene or a chemokine gene.
- 20 23. Use of the interferon regulatory factor (IRF) protein according to any one of claims 1 to 14 in cancer treatment.
 - 24. Use of the nucleotide sequence according to any one of claims 15 to 18 to modify a target cell of an organism.